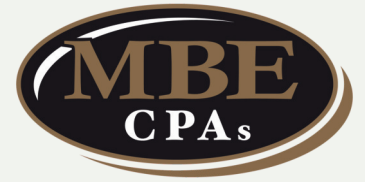


# Companies Exempt From Reporting Requirement



While most businesses need to file a BOI report, there are 23 exceptions. These exemptions cover publicly traded companies that meet specific requirements, many non-profit organizations, and certain large operating businesses.

EXEMPTION NO.	EXEMPTION SHORT TITLE	EXEMPTION NO.	EXEMPTION SHORT TITLE
1	Securities reporting issuer	13	State-licensed insurance producer
2	Governmental authority	14	Commodity Exchange Act registered entity
3	Bank	15	Accounting firm
4	Credit union	16	Public utility
5	Depository institution holding company	17	Financial market utility
6	Money services business	18	Pooled investment vehicle
7	Broker or dealer in securities	19	Tax-exempt entity
8	Securities exchange or clearing agency	20	Entity assisting a tax-exempt entity
9	Other Exchange Act registered entity	21	Large operating company
10	Investment company or investment advisor	22	Subsidiary of certain exempt entities
11	Venture capital fund advisor	23	Inactive entity
12	Insurance company		

*FinCEN's [Small Entity Compliance Guide](#) includes this table and checklists for each of the 23 exemptions that may help determine whether a company meets an exemption (see Chapter 1.2, "Is my company exempt from the reporting requirements?"). Companies should carefully review the qualifying criteria before concluding that they are exempt.*